

# THORPE NORTH & WESTERN

INTELLECTUAL PROPERTY LAW

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COMPETITION AND  
RELATED MATTERS



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June 6, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an  
Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§  
1.97 and 1.98:

- ☒ Form PTO-1449 list of four references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☒ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

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- \_\_\_ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- \_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- \_\_\_ Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- \_\_\_ Check No. \_\_\_ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 6<sup>th</sup> day of June, 2002.

Respectfully submitted,

*M. Wayne Wetern*

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Customer No. 20,551  
Attorney for Applicant  
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U.S. PATENT APPLICATION NO.  
DOCKET NO. 20291.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: ZHOU, Jifu  
SERIAL NO.: 10/031,220  
FILED: 01-15-02  
CONFIRM. NO.: 2622  
FOR: Process for Producing Gasoline  
and Diesel from Waste Plastics  
and/or Heavy Oil  
ART UNIT: 1744  
DOCKET NO.: 20291.US

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this  
correspondence is being deposited  
with the United States Postal  
Service as First Class Mail, postage  
prepaid, under 37 C.F.R. § 1.8 on  
the date indicated below and is  
addressed to Assistant  
Commissioner of Patents,  
Washington, D.C. 20231.

*[Signature]*  
Attorney

6-7-02  
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which  
contains a list of all patents, publications, or other items that have come to the attention of one or  
more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this  
Information Disclosure Statement is filed pursuant to:

  X   37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the  
application, or before a first office action on the merits, whichever occurs last;

       37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final

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Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

\_\_\_\_\_ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

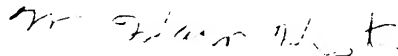
For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Assistant Commissioner of Patents  
Page 3

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 6<sup>th</sup> day of June, 2002.

Respectfully submitted,



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<p>70-1449</p> <p><b>JUN 14 2002</b></p> <p><b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b></p> <p><b>LIST OF PRIOR ART CITED BY APPLICANT</b></p>	<p><b>ATTY. DOCKET NO.</b> 20291.US</p>	<p><b>SERIAL NO.</b> 10/031,220</p>	<p><b>COPY OF PAPERS ORIGINALLY FILED</b></p>
<p><b>APPLICANT</b> Zhou, Jifu</p>		<p><b>FILING DATE</b> 01-15-02</p>	<p><b>GROUP</b> 1744</p>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	4,851,601	07-25-89	Fukuda et al.			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						

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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AM 0607862 A1		EPO			
AN CN 1075328A	8-18-93	CHINA			
AO CN 1232861A	10-27-99	CHINA			
AP					
AQ					

**OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)**

AR		
AS		
AT		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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